

DISCRETE MEMORYLESS CHANNEL

- **Transmission rate over a noisy channel**

Repetition code

Transmission rate

- **Capacity of DMC**

Capacity of a noisy channel Examples

- All these transition probabilities from x_i to y_j are gathered in a transition matrix.
- The $(i ; j)$ entry of the matrix is $P(Y = y_j / X = x_i)$, which is called forward transition probability.
- In DMC the output of the channel depends only on the input of the channel at the same instant and not on the input before or after.
- The input of a DMC is a RV (random variable) X who selects its value from a discrete limited set X .
- The cardinality of X is the number of the point in the used constellation.
- In an ideal channel, the output is equal to the input.
- In a non-ideal channel, the output can be different from the input with a given probability.

- **Transmission rate:**

- $H(X)$ is the amount of information per symbol at the input of the channel.
- $H(Y)$ is the amount of information per symbol at the output of the channel.
- $H(X|Y)$ is the amount of uncertainty remaining on X knowing Y .
- The information transmission is given by: $I(X; Y) = H(X) - H(X|Y)$ bits/channel use
- For an ideal channel $X = Y$, there is no uncertainty over X when we observe Y . So all the information is transmitted for each channel use: $I(X; Y) = H(X)$
- If the channel is too noisy, X and Y are independent. So the uncertainty over X remains the same knowing or not Y , i.e. no information passes through the channel: $I(X; Y) = 0$.

- **Hard and soft decision:**

- Normally the size of constellation at the input and at the output are the same, i.e., $|X| = |Y|$
- In this case the receiver employs hard-decision decoding.
- It means that the decoder makes a decision about the transmitted symbol.

- It is possible also that $|X_j| \neq |Y_j|$.
- In this case the receiver employs a soft-decision.

Channel models and channel capacity:

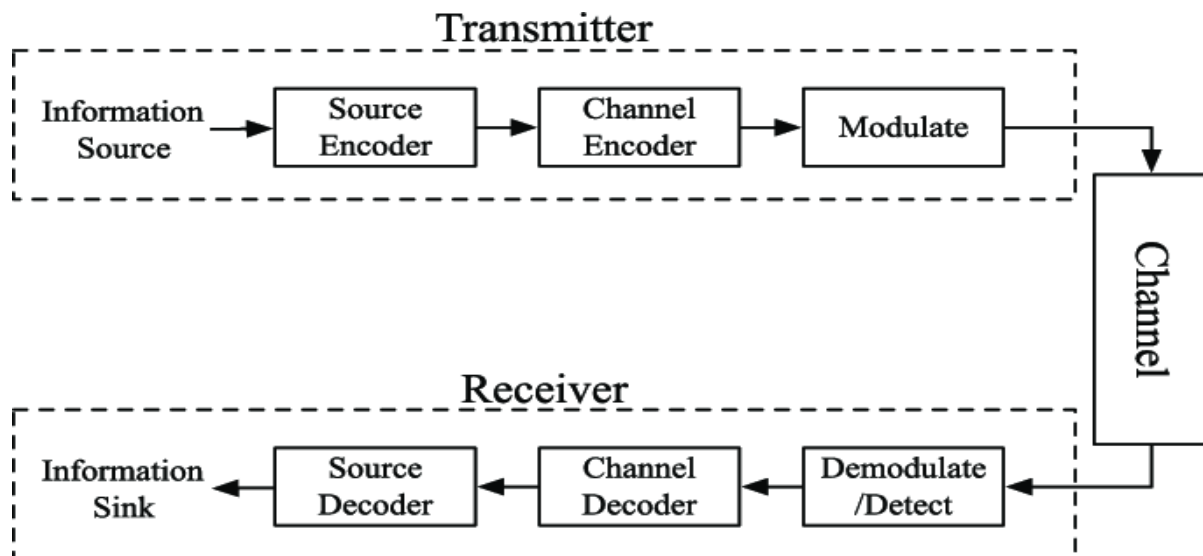


Fig1.2 Block Diagram of Digital Communication System

(Source: https://www.researchgate.net/figure/Block-diagram-of-a-typical-communication-system-doi101371-journalpone0082935g001_fig15_259457178)

1. The encoding process is a process that takes a k information bits at a time and maps each k -bit sequence into a unique n -bit sequence. Such an n -bit sequence is called a code word.

2. The code rate is defined as k/n .

3. If the transmitted symbols are M -ary (for example, M levels), and at the receiver the output of the detector, which follows the demodulator, has an estimate of the transmitted data symbol with

(a). M levels, the same as that of the transmitted symbols, then we say the detector has made a hard decision;

(b). Q levels, Q being greater than M , then we say the detector has made a soft decision.

Channels models:

1. Binary symmetric channel (BSC):

If (a) the channel is an additive noise channel, and (b) the modulator and demodulator/detector are included as parts of the channel. Furthermore, if the modulator employs binary waveforms, and the detector makes hard decision, then the channel has a discrete-time binary input sequence and a discrete-time binary output sequence.

Note that if the channel noise and other interferences cause statistically independent errors in the transmitted binary sequence with average probability p , the channel is called a BSC. Besides, since each output bit from the channel depends only upon the corresponding input bit, the channel is also memoryless.

2. Discrete memory less channels (DMC):

A channel is the same as above, but with q -ary symbols at the output of the channel encoder, and Q -ary symbols at the output of the detector, where $Q \geq q$. If the channel and the modulator are memory less, then it can be described by a set of qQ conditional probabilities

$$P(Y = y_i | X = x_j) \equiv P(y_i | x_j), i = 0, 1, \dots, Q - 1; j = 0, 1, \dots, q - 1$$

Such a channel is called discrete memory channel (DMC).

If the input to a DMC is a sequence of n symbols u_1, u_2, \dots, u_n selected from the alphabet X and the corresponding output is the sequence v_1, v_2, \dots, v_n of symbols from the alphabet Y , the joint conditional probability is

$$P(Y_1 = v_1, Y_2 = v_2, \dots, Y_n = v_n | X_1 = u_1, X_2 = u_2, \dots, X_n = u_n) = \prod_{k=1}^n P(Y_k = v_k | X_k = u_k)$$

The conditional probabilities $P(y_i | x_j)$ can be arranged in the matrix form $\mathbf{P} = [p_{ij}]$, \mathbf{P} is called

the probability transition matrix for the channel.

3. Discrete-input, continuous-output channels:

Suppose the output of the channel encoder has q -ary symbols as above, but the output of the detector is unquantized ($Q = \infty$). The conditional probability density functions

$$p(y | X = x_k), k = 0, 1, 2, \dots, q - 1$$

AWGN is the most important channel of this type.

$$Y = X + G$$

where $G \sim N(0, \sigma^2)$. Accordingly

$$P(y | X = x_k) = \frac{1}{\sqrt{2\pi}} e^{-(x-y)^2/2\sigma^2} \quad k = 0, 1, 2, \dots, q-1$$

For any given sequence $X_i, i = 1, 2, \dots, n$, the corresponding output is $Y_i, i = 1, 2, \dots, n$

$$Y_i = X_i + G_i, i = 1, 2, \dots, n$$

If, further, the channel is memory less, then the joint conditional pdf of the detector's output is

$$P(y_1, y_2, \dots, y_n | X_1 = u_1, X_2 = u_2, \dots, X_n = u_n) = \prod_{i=1}^n P(y_i | X_i = u_i)$$

4. Waveform channels:

If such a channel has bandwidth W with ideal frequency response $C(f) = 1$, and if the bandwidth-limited input signal to the channel is $x(t)$, and the output signal, $y(t)$ of the channel is corrupted by AWGN, then

$$y(t) = x(t) + n(t)$$

The channel can be described by a complete set of orthonormal functions:

$$y(t) = \sum_i y_i f_i(t), \quad x(t) = \sum_i x_i f_i(t), \quad n(t) = \sum_i n_i f_i(t)$$

where

$$y_i = \int_0^T y(t) f_i^*(t) dt = \int_0^T [x(t) + n(t)] f_i^*(t) dt = x_i + n_i$$

The functions $\{f_i(t)\}$ form a complete orthonormal set over $(0, T)$

The statistical description in such a system is

$$P(y/X = x_k) = \frac{1}{\sqrt{2\pi}} e^{(-x-y)^2/2\sigma^2} \quad k=0,1,2,q-1$$

Since $\{n_i\}$ are uncorrelated and are Gaussian, therefore, statistically independent. So

$$P(y_1, y_2, \dots, y_N | x_1, x_2, \dots, x_n) = \prod_{i=1}^n P(y_i | x_i)$$

Channel Capacity:

Channel model: DMC

Input alphabet: $X = \{x_0, x_1, x_2, \dots, x_{q-1}\}$

Output alphabet: $Y = \{y_0, y_1, y_2, \dots, y_{q-1}\}$

Suppose x_j is transmitted, y_i is received, then

The mutual information (MI) provided about the event $\{X = x_j\}$ by the occurrence of the event

$$\{Y = y_j\} \text{ is } \log \left[\frac{P(y_i | x_j)}{P(y_i)} \right] \text{ with } P(y_i) = P(Y = y_i) = \sum_{k=0}^{q-1} P(x_k) P(y_i | x_k)$$

Hence, the average mutual information (AMI) provided by the output Y about the input X is

$$I(X, Y) = \sum_{j=0}^{q-1} \sum_{i=0}^{Q-1} P(x_j) P(y_i | x_j) \log \left[\frac{P(y_i | x_j)}{P(y_i)} \right]$$

To maximize the AMI, we examine the above equation:

- (1). $P(y_i)$ represents the i th output of the detector;
- (2). $P(y_i | x_j)$ represents the channel characteristic, on which we cannot do anything;
- (3). $P(x_j)$ represents the probabilities of the input symbols, and we may do something or control them. Therefore, the channel capacity is defined by

$$C = \max_{P(x_j)} \sum_{j=0}^{q-1} \sum_{i=0}^{Q-1} P(x_j) P(y_i | x_j) \log \left[\frac{P(y_i | x_j)}{P(y_i)} \right]$$

with two constraints: $P(x_j) \geq 0$; $\sum_{j=0}^{q-1} P(x_j) = 1$

- **Unit of C:**

- bits/channel use when $\log = \log_2$; and
- nats/input symbol when $\log = \log_e = \ln$
- If a symbol enters the channel every τ_s seconds (seconds/channel use)
- Channel capacity: C/τ_s (bits/s or nats/s).